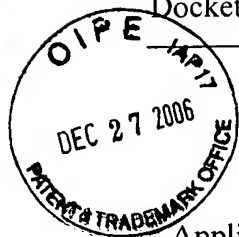


TM



INFORMATION DISCLOSURE STATEMENT

Applicant : Yu et al.
App. No : 10/802,153
Filed : March 17, 2004
For : SELF-EMULSIFYING
COMPOSITIONS, METHODS OF USE
AND PREPARATION
Examiner : Kim, V.Y.
Art Unit : 1618

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 14, 2006

(Date)

Christopher T. Sweeney
Christopher T. Sweeney, Reg. No. 58,110

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 5 references to be considered by the Examiner. Also enclosed are 1 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

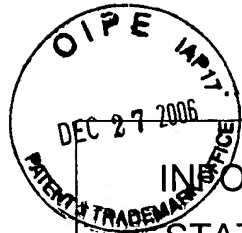
This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 12/14/06

By: *Christopher T. Sweeney*
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(619) 235-8550

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Multiple sheets used when necessary)*

SHEET 1 OF 1

Application No.	10/802,153
Filing Date	March 17, 2004
First Named Inventor	Zhi-Jian Yu
Art Unit	1618
Examiner	Kim, V.Y.
Attorney Docket No.	AMOINC.001CP1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1.	2003/0185878	10-2003	Hoshino et al.	
	2.	2003/0086888	05-2003	LeGrow et al.	
	3.	6,309,663	10-2001	Patel et al.	
	4.	6,506,394	01-2003	Yahlaoul et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	5.	Definition "Emulsion", On-line Medical Dictionary. 1 page.	

3211222/bab/121406

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.